Inversion of Rayleigh Wave Dispersion Measurements in Geological Media

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Introduction - Seismology

- Body Waves
 - Compressional (P) wave
 - Shear (S) wave
- Surface Waves
 - Rayleigh (R) Wave
 - Love (L) Wave
- Terminology:
 - Angular frequency, $\omega = 2\pi/T$
 - Wavenumber, $k = 1/\lambda$
 - Material velocity, $V = f(G, K, \rho)$
 - Phase velocity, $V^* = \omega/k$



Introduction - Seismology

- Rayleigh Waves:
 - Interaction between P and S_v waves at a free surface
 - Vertically polarized
 - Retrograde elliptical particle motion
 - Amplitude decays exponentially with depth



• Dispersion (V* = $\omega/k \neq \text{constant}$)



Surface Wave Inversion

- The set of V* for all possible k and ω is called the dispersion relationship, D
 - Fundamental mode vs. Overtones
- Goal of analysis:
 - Measure D for an unknown system or simulate D for a set of assumed (forward) models
 - Search for the best fit (inverted) model
- Applications:
 - Seismology
 - Geophysics
 - Non-destructive materials testing



1D Surface Wave Model, General Solution

$$\rho \ddot{\mathbf{u}}_{j}(\boldsymbol{x},t) = (\lambda_{j} + 2\mu_{j}) \nabla (\nabla \cdot \mathbf{u}_{j}(\boldsymbol{x},t)) - \mu_{j} \nabla \times \nabla \times \mathbf{u}_{j}(\boldsymbol{x},t)$$

$$\begin{split} & \frac{d}{dz} \begin{pmatrix} r_1 \\ r_2 \\ r_3 \\ r_4 \end{pmatrix} = \begin{pmatrix} 0 & k & 1/\mu & 0 \\ -k\psi & 0 & 0 & \psi \\ k^2 \xi - \omega^2 \rho & 0 & 0 & k\lambda\psi \\ 0 & -\omega^2 \rho & -k & 0 \end{pmatrix} \begin{pmatrix} r_1 \\ r_2 \\ r_3 \\ r_4 \end{pmatrix} \\ & \psi = \frac{1}{\lambda + 2\mu} \\ \xi = 4\mu(\lambda + \mu)\psi \end{split}$$
$$\begin{aligned} & u = r_1(k, z, \omega) e^{i(kr - \omega t)} \\ & v = ir_2(k, z, \omega) e^{i(kr - \omega t)} \end{aligned}$$

w = 0

 $\begin{array}{c} \lambda_{1},\,\mu_{1},\,\rho_{1},\,z_{1}\\ \lambda_{2},\,\mu_{2},\,\rho_{2},\,z_{2}\\ & \\ \dots\\ & \\ \lambda_{N},\,\mu_{N},\,\rho_{N},\,z_{N}\\ \end{array}$ Infinite Half-Space $\lambda_{N+1},\,\mu_{N+1},\,\rho_{N+1}$

Free Surface

FK Integration, Cost Function

- Use FK integration to simulate D (Haskell, 1964; Chen, 1993)
- ♦ Non-trivial solutions for model V_k
 - Exist only for particular values of ω_i and k_{ij}
 - Located at the real roots of the secular function, $S=f(\omega_i, k_{ij}, V_k)$
- Cost Function:

$$M_{k} = \|S_{k}\| = \sum_{i=1}^{n} \sum_{j=1}^{m} \operatorname{Re}\left\{S(\omega_{i}, k_{ij}, \tilde{V}_{k})\right\}$$



Assumptions

- The geological system includes N homogeneous layers, with thickness L, over an infinite half-space
- D is most sensitive to the shear wave velocity (V_s) of each layer

Rayleigh waves sample layers up to 2-3
$$\lambda$$
 deep

• The range of acceptable V_s is limited, and increases monotonically with depth

$$V_{s,i} = \sqrt{\frac{\mu_i}{\rho_i}}$$

 $\dot{u}(t,z) \sim \sin(\omega t) \exp(-z/\lambda)$

 $v_i \in [v_{min}, v_{max}]$ $v_i \le v_{i+1}$

Inversion Method





Measured Dispersion Relationship:





Measured Dispersion Relationship:





Measured Dispersion Relationship:



Evaluation of Inversion Strategy





- Explore the effect of the starting point upon the final (inverted) model
- Estimate how reliable inverted results are, based upon the final value of the cost function (**M**)
- Develop and evaluate other cost functions
- Implement a global optimization method for the inversion
 - Simulated Annealing or Fast Simulated Annealing?